



Week 10: Recursion

Software Design II (CS 220): D. Mathias

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What is recursion?

Good Answer: recursion is (often) an alternative to iteration.

Better Answer: recursion is a valuable tool for solving certain types of problems.

Best Answer: recursion is magic.

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Seriously, what is recursion?

- A remarkably important concept and programming technique
 - A **recursive method** is simply one that calls itself

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Question Considered Now

- **How should you think about** recursion so that you can use it to develop elegant recursive methods to solve certain problems?

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Question Considered Next

- **Why** do those recursive methods work?

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Question Considered Only Later

- **How** do those recursive methods work?
 - Don't worry; we will come back to this
 - Trust me, it's better this way

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Suppose...

- You need to reverse a `String`
- Specification looks like this:

```
/**
 * Reverses a String.
 * ...
 * @return string with chars of s in reverse order (String)
 */
private static String reverseString(String s)
{
    ...
}
```

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Suppose...

- You need to reverse a `String`
- Specification looks like this:

```
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 * ...
 * @return string with chars of s in reverse order (String)
 */
private static String reverseString(String s)
{
    ...
}
```

Try to
implement it
(i.e., write the
method body)

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One Possible Solution

```
private static String reverseString(String s)
{
    String rs = "";
    for (int i = 0; i < s.length(); i++)
    {
        rs = s.charAt(i) + rs;
    }
    return rs;
}
```

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Let's trace it

	<i>s = "abc"</i> <i>rs = ""</i>
<code>for (int i = 0; i < s.length(); i++) {</code>	
<code> rs = s.charAt(i) + rs;</code>	
<code>}</code>	

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Let's trace it: Iteration 1

	<i>s</i> = "abc" <i>rs</i> = ""
for (int <i>i</i> = 0; <i>i</i> < <i>s</i> .length(); <i>i</i> ++) {	
	<i>s</i> = "abc" <i>rs</i> = "" <i>i</i> = 0
<i>rs</i> = <i>s</i> .charAt(<i>i</i>) + <i>rs</i> ;	
}	

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Let's trace it: Iteration 1

	<i>s</i> = "abc" <i>rs</i> = ""
for (int <i>i</i> = 0; <i>i</i> < <i>s</i> .length(); <i>i</i> ++) {	
	<i>s</i> = "abc" <i>rs</i> = "" <i>i</i> = 0
<i>rs</i> = <i>s</i> .charAt(<i>i</i>) + <i>rs</i> ;	
	<i>s</i> = "abc" <i>rs</i> = "a" <i>i</i> = 0
}	

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Let's trace it: Iteration 2

	<i>s</i> = "abc" <i>rs</i> = ""
for (int <i>i</i> = 0; <i>i</i> < <i>s</i> .length(); <i>i</i> ++) {	
	<i>s</i> = "abc" <i>rs</i> = "a" <i>i</i> = 1
<i>rs</i> = <i>s</i> .charAt(<i>i</i>) + <i>rs</i> ;	
	<i>s</i> = "abc" <i>rs</i> = "a" <i>i</i> = 0
}	

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Let's trace it: Iteration 2

	<i>s</i> = "abc" <i>rs</i> = ""
for (int <i>i</i> = 0; <i>i</i> < <i>s</i> .length(); <i>i</i> ++) {	
	<i>s</i> = "abc" <i>rs</i> = "a" <i>i</i> = 1
<i>rs</i> = <i>s</i> .charAt(<i>i</i>) + <i>rs</i> ;	
	<i>s</i> = "abc" <i>rs</i> = "ba" <i>i</i> = 1
}	

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Let's trace it: Iteration 3

	<i>s</i> = "abc" <i>rs</i> = ""
<code>for (int i = 0; i < s.length(); i++) {</code>	
	<i>s</i> = "abc" <i>rs</i> = "ba" <i>i</i> = 2
<code>rs = s.charAt(i) + rs;</code>	
	<i>s</i> = "abc" <i>rs</i> = "ba" <i>i</i> = 1
<code>}</code>	

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Let's trace it: Iteration 3

	<i>s</i> = "abc" <i>rs</i> = ""
<code>for (int i = 0; i < s.length(); i++) {</code>	
	<i>s</i> = "abc" <i>rs</i> = "ba" <i>i</i> = 2
<code>rs = s.charAt(i) + rs;</code>	
	<i>s</i> = "abc" <i>rs</i> = "cba" <i>i</i> = 2
<code>}</code>	

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Let's trace it: Ready to Return

	<i>s</i> = "abc" <i>rs</i> = ""
<code>for (int i = 0; i < s.length(); i++) {</code>	
	<i>s</i> = "abc" <i>rs</i> = "ba" <i>i</i> = 2
<code> rs = s.charAt(i) + rs;</code>	
	<i>s</i> = "abc" <i>rs</i> = "cba" <i>i</i> = 2
<code>}</code>	
	<i>s</i> = "abc" <i>rs</i> = "cba"

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Oh, Did I Mention...

- There is already a static method in the class `FreeLunch` with exactly the same specification:

```
/**
 * Reverses a String.
 * ...
 * @return string with chars of s in reverse order (String)
 */
private static String reverseString(String s)
{
    ...
}
```

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A Free Lunch Sounds Good!

- The slightly nasty thing about the `FreeLunch` class is that its methods will not directly solve the problem: you have to make the problem “smaller” before you can use `FreeLunch`
- Therefore, this `reverseString` code will *not* work:

```
private static String reverseString(String s)
{
    return FreeLunch.reverseString(s);
}
```

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Recognizing the Smaller Problem

- A key to recursive thinking is the ability to recognize a **smaller** instance of the **same** problem “hiding inside” the problem you need to solve
- Suppose we recognize the following property of string reversal:

$$\text{rev}(\langle x \rangle + a) = \text{rev}(a) + \langle x \rangle$$

where `x` is a `char` and `a` is a `String`

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The Smaller Problem

- If we had some way to reverse a string of length 4, say, then we could reverse a string of length 5 by:
 1. removing the character on the left end
 2. reversing what's left
 3. adding the character that was removed onto the right end

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The Smaller Problem

- If we had some way to reverse a string of length 4, say, then we could reverse a string of length 5 by:
 1. removing the character on the left end
 2. reversing what's left
 3. adding the character that was removed onto the right end

This is a **smaller instance** of exactly the **same problem** as we need to solve.

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Time for our Free Lunch

- We can use the `FreeLunch` class now:

```
private static String reverseString(String s)
{
    String sub = s.substring(1);
    String revSub = FreeLunch.reverseString(sub);
    String result = revSub + s.charAt(0);
    return result;
}
```

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Let's trace it

	<i>s = "abc"</i>
<code>String sub = s.substring(1);</code>	
	<i>s = "abc"</i> <i>sub = "bc"</i>
<code>String revSub = FreeLunch.reverseString(sub);</code>	
	<i>s = "abc"</i> <i>sub = "bc"</i> <i>revSub = "cb"</i>
<code>String result = revSub + s.charAt(0);</code>	
	<i>s = "abc"</i> <i>sub = "bc"</i> <i>revSub = "cb"</i> <i>result = "cba"</i>

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Let's trace it

How do you trace over this call? By looking at the specification, of course!

	<i>s = "abc"</i> <i>sub = "bc"</i>
<code>String s = "abc";</code>	
<code>String revSub = FreeLunch.reverseString(sub);</code>	<i>s = "abc"</i> <i>sub = "bc"</i> <i>revSub = "cb"</i>
	<i>s = "abc"</i> <i>sub = "bc"</i> <i>revSub = "cb"</i>
<code>String result = revSub + s.charAt(0);</code>	
	<i>s = "abc"</i> <i>sub = "bc"</i> <i>revSub = "cb"</i> <i>result = "cba"</i>

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Almost done with Lunch

- Is this code correct?:

```
private static String reverseString(String s)
{
    String sub = s.substring(1);
    String revSub = FreeLunch.reverseString(sub);
    String result = revSub + s.charAt(0);
    return result;
}
```

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Almost done with Lunch

- Is this code correct?:

```
private static String reverseString(String s)
{
    String sub = s.substring(1);
    String revSub = FreeLunch.reverseString(sub);
    String result = revSub + s.charAt(0);
    return result;
}
```

This call has a precondition: `s` must not be the empty string (see the Java documentation)

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Almost done with Lunch

- Is this code correct?:

```
private static String reverseString(String s)
{
    String sub = s.substring(1);
    String revSub = FreeLunch.reverseString(sub);
    String result = revSub + s.charAt(0);
    return result;
}
```

This call has a precondition: `s` must not be the empty string (see the Java documentation)

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Accounting for Empty `s`

```
private static String reverseString(String s)
{
    if (s.length() == 0)
    {
        return s;
    }
    else
    {
        String sub = s.substring(1);
        String revSub = FreeLunch.reverseString(sub);
        String result = revSub + s.charAt(0);
        return result;
    }
}
```

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Oh, did I mention...

- Sorry, there is no `FreeLunch`!

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There's No FreeLunch?!?

```
private static String reverseString(String s)
{
    if (s.length() == 0)
    {
        return s;
    }
    else
    {
        String sub = s.substring(1);
        String revSub = FreeLunch.reverseString(sub);
        String result = revSub + s.charAt(0);
        return result;
    }
}
```

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We Don't Need a FreeLunch

```
private static String reverseString(String s)
{
    if (s.length() == 0)
    {
        return s;
    }
    else
    {
        String sub = s.substring(1);
        String revSub = reverseString(sub);
        String result = revSub + s.charAt(0);
        return result;
    }
}
```

We just wrote the code for `reverseString`, so we can call our own version rather than the one from `FreeLunch`.

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A Recursive Method

```
private static String reverseString(String s)
{
    if (s.length() == 0)
    {
        return s;
    }
    else
    {
        String sub = s.substring(1);
        String revSub = reverseString(sub);
        String result = revSub + s.charAt(0);
        return result;
    }
}
```

Note that the body of `reverseString` now calls itself, so **we just wrote a recursive method**.

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A Crucial Theorem for Recursion

- If your code for a method is correct when it calls the (hypothetical) `FreeLunch` version of the method — remember, it must be on a **smaller** instance of the problem — then your code is *still* correct when you replace every call to the `FreeLunch` version with a **recursive** call to your own version

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The Theorem Applied

- If the code that makes a call to `FreeLunch.reverseString` is correct, then so is the code that makes a **recursive** call to `reverseString`
- Remember: this is so only because the call to `FreeLunch.reverseString` is for a **smaller** problem, *i.e.*, a string with smaller length

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No Need For Multiple Returns

```
private static String reverseString(String s)
{
    String result = s;
    if (s.length() > 0)
    {
        String sub = s.substring(1);
        String revSub = reverseString(sub);
        result = revSub + s.charAt(0);
    }
    return result;
}
```

Alternative solution with a **single** return. In this case, multiple returns are not necessary and they do not provide a better solution.

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Another Example

- What is the first recursive algorithm you learned?
(Think about grade-school)

Addition

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Consider adding 1 to an integer:

- Think about how you would increment (add 1 to) a number using the grade-school arithmetic algorithm
- Examples:

$$\begin{array}{r} 41072 \\ + \quad 1 \\ \hline 41073 \end{array}$$

$$\begin{array}{r} 41079 \\ + \quad 1 \\ \hline 41080 \end{array}$$

$$\begin{array}{r} 41999 \\ + \quad 1 \\ \hline 42000 \end{array}$$

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Recognizing the Smaller Problem

- Think about how you would increment (add 1 to) a number using the grade-school arithmetic algorithm
- Examples:

$$\begin{array}{r} 41072 \\ + \quad 1 \\ \hline 41073 \end{array}$$

$$\begin{array}{r} 41079 \\ + \quad 1 \\ \hline 41080 \end{array}$$

$$\begin{array}{r} 41999 \\ + \quad 1 \\ \hline 42000 \end{array}$$

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The Smaller Problem

- If we had some way to increment a number with 4 digits, say, then we could increment a 5-digit number by:
 - taking off the one's digit
 - incrementing it and asking: is there is a “carry”?
 - if there is, then incrementing what's left
 - putting back the updated one's digit
- Important: multiple carries don't matter

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The Smaller Problem

- If we have a number with 4 digits, for example, to increment a 5-digit number by:
 - taking off the one's digit
 - incrementing it and asking: is there a “carry”?
 - if there is, then **incrementing what's left**
 - putting back the updated one's digit
- Important: multiple carries don't matter

This is a **smaller instance** of exactly the **same problem** as we need to solve.

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Time for Our Free Lunch

- We can use the **FreeLunch** class now:

```
public static void increment (NaturalNumber n)
{
    int onesDigit = n.divideBy(10);
    onesDigit++;
    if(onesDigit == 10)
    {
        onesDigit = 0;
        FreeLunch.increment(n);
    }
    n.multiplyBy10(onesDigit);
}
```

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Almost Done With Lunch

- Is this code correct?:

```
public static void increment (NaturalNumber n)
{
    int onesDigit = n.divideBy(10);
    onesDigit++;
    if(onesDigit == 10)
    {
        onesDigit = 0;
        FreeLunch.increment(n);
    }
    n.multiplyBy10(onesDigit);
}
```

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Done With Lunch

- Is this code correct?:

```
public static void increment (NaturalNumber n)
{
    int onesDigit = n.divideBy(10);
    onesDigit++;
    if(onesDigit == 10)
    {
        onesDigit = 0;
        increment(n);
    }
    n.multiplyBy10(onesDigit);
}
```

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Theorem Applied

- If the code that makes a call to `FreeLunch.increment` is correct, then so is the code that makes a **recursive** call to `increment`
- Remember: this is so only because the call to `FreeLunch.increment` is for a **smaller** problem, *i.e.*, a number less than the incoming value of `n`

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Recursive Structure

- For problem `P`, can we leverage the power of recursion?

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Recursive Structure

- For problem P, can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?

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Recursive Structure

- For problem P, can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Factorial: $(n - 1)!$ $(n - 2)!$ $(n - 3)!$

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Recursive Structure

- For problem P, can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Factorial: $(n - 1)!$ $(n - 2)!$ $(n - 3)!$
 - Fibonacci: $(n - 1)!$ $(n - 2)!$ $(n - 12)!$

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Recursive Structure

- For problem P, can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Factorial: $(n - 1)!$ $(n - 2)!$ $(n - 3)!$
 - Fibonacci: $\text{fib}(n - 1)$ $\text{fib}(n - 2)$ $\text{fib}(n - 12)$
 - reverseString: remove first char, remove last char

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Recursive Structure

- For problem P , can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Can we efficiently solve the smaller instances?

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Recursive Structure

- For problem P , can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Can we efficiently solve the smaller instances?
 - This is usually the easy part

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Recursive Structure

- For problem P , can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Can we efficiently solve the smaller instances?
 - This is usually the easy part
 - Can we use solutions to smaller problems to construct a solution to P ?

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Recursive Structure

- For problem P , can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Can we efficiently solve the smaller instances?
 - This is usually the easy part
 - Can we use solutions to smaller problems to construct a solution to P ?
 - Factorial: given $(n - 1)!$ we easily get $n!$

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Recursive Structure

- For problem P, can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Can we efficiently solve the smaller instances?
 - This is usually the easy part
 - Can we use solutions to smaller problems to construct a solution to P?
 - Factorial: given $(n - 1)!$ we easily get $n!$
 - Fibonacci: given $\text{fib}(n - 1)$ and $\text{fib}(n - 2)$ we easily get $\text{fib}(n)$

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Recursive Structure

- For problem P, can we leverage the power of recursion?
 - Can we divide P into one or more smaller instances?
 - Can we efficiently solve the smaller instances?
 - This is usually the easy part
 - Can we use solutions to smaller problems to construct a solution to P?
 - Factorial: given $(n - 1)!$ we easily get $n!$
 - Fibonacci: given $\text{fib}(n - 1)$ and $\text{fib}(n - 2)$ we easily get $\text{fib}(n)$
 - stringReverse: given $\text{rev}(\text{sub})$ we easily get $\text{rev}(s)$

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Factorial: The canonical example

- Is this correct?

```
public int factorial(int n)
{
    int result = n * factorial(n-1);
    return result;
}
```

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Factorial: The canonical example

- Why not?

```
public int factorial(int n)
{
    int result = n * factorial(n-1);
    return result;
}
```

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Recursive Structure

- What should we do when an instance of P is too small to divide into smaller problems?

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Recursive Structure

- What should we do when an instance of P is too small to divide into smaller problems?
 - **Solve it!** In many cases it's trivial.

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Recursive Structure

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 - **Solve it!** In many cases it's trivial.
 - Factorial: $0! = 1$ $1! = 1$

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Recursive Structure

- What should we do when an instance of P is too small to divide into smaller problems?
 - **Solve it!** In many cases it's trivial.
 - Factorial: $0! = 1$ $1! = 1$
 - Fibonacci: $\text{fib}(0) = 0$ $\text{fib}(1) = 1$

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Recursive Structure

- What should we do when an instance of P is too small to divide into smaller problems?
 - **Solve it!** In many cases it's trivial.
 - Factorial: $0! = 1$ $1! = 1$
 - Fibonacci: $\text{fib}(0) = 0$ $\text{fib}(1) = 1$
 - stringReverse: $\text{stringReverse}("") = ""$

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Factorial: The canonical example

- Is this correct?

```
public int factorial(int n)
{
    if(n <= 1)
        return n;
    return n * factorial(n-1);
}
```

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A way to reason about recursion

Bottom up – begin with the base case

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A way to reason about recursion

- Bottom up – begin with the smallest-value case

```
public int factorial(int n)
{
    if (n <= 1)
        return 1;
    return n * factorial(n-1);
}
```

- if $n=0$ or $n=1$, `factorial(n)` returns correct result ✓

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A way to reason about recursion

- Bottom up – begin with the smallest-value case

```
public int factorial(int n)
{
    if (n <= 1)
        return 1;
    return n * factorial(n-1);
}
```

- if $n=2$, $\text{factorial}(n)$ returns $2 * \text{factorial}(1)$
- We just convinced ourselves that $\text{factorial}(1)$ is correct
- $2 * \text{factorial}(1)$ is the correct result for $\text{factorial}(2)$ ✓

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A way to reason about recursion

- Bottom up – begin with the smallest-value case

```
public int factorial(int n)
{
    if (n <= 1)
        return 1;
    return n * factorial(n-1);
}
```

- if $n=3$, $\text{factorial}(n)$ returns $3 * \text{factorial}(2)$
- We just convinced ourselves that $\text{factorial}(2)$ is correct
- $3 * \text{factorial}(2)$ is the correct result for $\text{factorial}(3)$ ✓

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A way to reason about recursion

- Bottom up – begin with the smallest-value case

```
public int factorial(int n)
{
    if (n <= 1)
        return 1;
    return n * factorial(n-1);
}
```

- if $n=4$, `factorial(n)` returns $4 * \text{factorial}(3)$
- We just convinced ourselves that `factorial(3)` is correct
- $4 * \text{factorial}(3)$ is the correct result for `factorial(4)` ✓